



根据《证券及期货条例》就第2、5类受规管活动获发牌的持牌法团CE编号BMV692

A corporation licensed for Type 2 and 5 regulated activities under the Securities and Futures Ordinance with CE no. BMV692

**开户表格 ACCOUNT OPENING INFORMATION FORM**  
个人/联名帐户 INDIVIDUAL/JOINT ACCOUNT

<input type="checkbox"/> 个人帐户 Individual Account	<input type="checkbox"/> 联名帐户 Joint Account 与联名帐户持有人关系 Relationship with Joint Client : _____		
客户姓名 Client Name			
客户号码 Client Number		帐户开通日期 Account Opening Date	DD-MM-YYYY
根据证券及期货事务监察委员会之持牌人或注册人操守准则, 请提供以下资料: In accordance with the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission, please kindly provide the following information: 请于最适当的选择旁加上“√” Please “√” on the most appropriate choice. * 删除不适用 Delete if not applicable.			
<b>个人资料 - Personal Information</b>			
姓名: _____	先生/女士* _____	出生日期 Date of Birth	DD-MM-YYYY
Name: _____	Mr./ Ms.* _____	出生地点 Place of Birth	
身份证或护照号码ID No./Passport No.* _____		签发地点 Place of Issue	
<b>客户声明与确认 Client Declaration and Confirmation</b>			
<input type="checkbox"/> 本人特此确认及保证, 本人并不持有比开户所提交的身份证明文件优先次序更高的文件。(优先次序由高至低为: 1. 香港身份证 > 2. 国民身份证证明文件 > 3. 护照) I/We hereby confirm and warrant that I/we do not hold any identity document with a higher priority than the one submitted for this account opening. (Priority from highest to lowest: 1. Hong Kong Identity Card > 2. National Identity Document > 3. Passport)(香港非永久居民: 请同时提供国民身份证证明文件, 若无, 则提供护照。 For HK non-permanent resident: Please also provide National ID Card, or Passport if unavailable.)			
住宅地址 Residential Address		国籍/公民身份 Nationality/Citizenship	
电邮地址 E-mail address		住宅电话 Home Tel. No.	
流动电话 Mobile Tel No.		微信号 Wechat ID	
学历程度 Education Level	<input type="checkbox"/> 小学或以下 Primary School or below <input type="checkbox"/> 大专 Non-Degree Tertiary <input type="checkbox"/> 研究生或以上 Postgraduate or above	<input type="checkbox"/> 中学 Secondary School <input type="checkbox"/> 本科 Undergraduate	婚姻状况 Marital status <input type="checkbox"/> 单身 Single <input type="checkbox"/> 已婚 Married <input type="checkbox"/> 其他 Other _____
工作状况 Work Status	<input type="checkbox"/> 受雇 Employed <input type="checkbox"/> 自雇 Self-employed <input type="checkbox"/> 退休 Retired <input type="checkbox"/> 无业/待业 Unemployed <input type="checkbox"/> 其他, 请说明 Others(specify): _____		
雇主名称 Name of Employer		受雇年期 Employment Period	
行业及职位 Occupation & Position		办公室电话 Office Tel. No.	
办公室地址 Office Address			
1. 帐单透过以下方式发送(只选择一项) Statements will be sent by the following means (select one): <input type="checkbox"/> 电邮方式 By email (可豁免行政费 Administrative Fee may be waived) <input type="checkbox"/> 邮递方式 By post (请参阅收费表 Please refer to the fee leaflet)			
2. 所有邮递信件将会发送到以下地址(只选一项) All postal correspondence will be sent to the following address (select one): <input type="checkbox"/> 住宅地址 Residential address <input type="checkbox"/> 办公室地址 Office address <input type="checkbox"/> 其他地址 Other address _____			
指定银行 Designated Bank			
银行名称 Name of Bank	帐户号码 Account No.	币种 Currency	
1. _____	_____	多币种 / 港元 / 美元 / 其他 _____	
2. _____	_____	多币种 / 港元 / 美元 / 其他 _____	
3. _____	_____	多币种 / 港元 / 美元 / 其他 _____	
海外银行请提供地址及 Swift Code Bank address and Swift Code for overseas bank			

**结算及资金提存确认 Confirmation on Settlement and Fund Transfers:**

本人特此确认及保证，此投资帐户的所有结算及日后的所有资金提存（包括存入及提取），将只限于透过以本人名义开立的指定银行帐户进行。该银行帐户必须属于：(i) 香港持牌银行；或 (ii) 合资格司法管辖区之受监管银行。I/We hereby confirm and warrant that all settlements and future fund transfers (including deposits and withdrawals) for this investment account shall be conducted exclusively through a bank account held in my/our own name with: (i) a licensed bank in Hong Kong; or (ii) a bank supervised by banking regulators in an eligible jurisdiction.

**财务状况 Financial Situation**

年薪 Annual Income(HKD)	<input type="checkbox"/> 0-200,000	<input type="checkbox"/> 200,001-500,000	<input type="checkbox"/> 500,001-1,000,000
	<input type="checkbox"/> 1,000,001-3,000,000	<input type="checkbox"/> ≥ 3,000,001	
流动资产 Current Assets(HKD)	<input type="checkbox"/> 0 - 1,000,000	<input type="checkbox"/> 1,000,001-3,000,000	<input type="checkbox"/> 3,000,001-8,000,000
	<input type="checkbox"/> 8,000,001-30,000,000	<input type="checkbox"/> ≥ 30,000,001	
资产净值 Net Worth(HKD)	<input type="checkbox"/> 0 - 1,000,000	<input type="checkbox"/> 1,000,001-3,000,000	<input type="checkbox"/> 3,000,001-8,000,000
	<input type="checkbox"/> 8,000,001-30,000,000	<input type="checkbox"/> ≥ 30,000,001	
资金来源 Source of Funds	<input type="checkbox"/> 薪金储蓄 Savings from Salary	<input type="checkbox"/> 营业溢利 Trading Profits	<input type="checkbox"/> 租金收入 Rental Income
	<input type="checkbox"/> 金融机构贷款 Loan from Financial Institution	<input type="checkbox"/> 家庭 Family	<input type="checkbox"/> 其他 Others: _____
住屋业权 Ownership of Residence	<input type="checkbox"/> 拥有并已抵押 Owned with mortgaged	<input type="checkbox"/> 拥有并没有抵押 Owned without mortgaged	
	<input type="checkbox"/> 没拥有 Not owned		

**投资经验及衍生产品认识 Investment Experience & Derivative Products Knowledge**

投资目的 Investment Objectives:

- 资本投资及收入 Capital Investment and Income       对冲 Hedging       投机 Speculation       其他(请说明) Others(specify) \_\_\_\_\_

产品了解 Product Understanding:

- 我了解衍生产品的性质和风险: I understand the nature and risks of derivative products by:
- 已接受有关的培训或课程 Undergoing relevant training or attending course in
 

<input type="checkbox"/> 监管机构 Regulatory Authority	<input type="checkbox"/> 交易所 Exchange	<input type="checkbox"/> 大专院校 Tertiary Institution	<input type="checkbox"/> 进修学院 Education Institution	<input type="checkbox"/> 金融机构 Financial Institution
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  - 于过去三年内进行了五次或以上有关衍生产品之交易 (不论是否于交易所进行交易)  
Executing five or more transactions in derivative products (whether traded on an exchange or not) within the past three years
  - 于经纪公司或银行、基金或资产管理公司、监管机构或交易所等金融机构拥有有关的工作经验  
By gaining prior relevant work experience in financial institutions such as a brokerage firm or bank, fund house or asset management firm, regulatory authority or exchange
 

<input type="checkbox"/> 受监管持牌人士 Regulated Licensed Person	<input type="checkbox"/> 管理层 Management	<input type="checkbox"/> 与衍生工具相关后勤 Derivatives Related Back Office
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- 本人并未有衍生产品之认识 I have NO knowledge of derivative products

投资经验 Investment Experience

			年期 Year(s)	
期货 Futures	<input type="checkbox"/> 没有 Nil	<input type="checkbox"/> 1年以下 below 1 year	<input type="checkbox"/> 1-3 年 year(s)	<input type="checkbox"/> 3 年以上 Over 3 years
期权 Options	<input type="checkbox"/> 没有 Nil	<input type="checkbox"/> 1年以下 below 1 year	<input type="checkbox"/> 1-3 年 year(s)	<input type="checkbox"/> 3 年以上 Over 3 years
其他衍生产品 (如认股权证)	<input type="checkbox"/> 没有 Nil	<input type="checkbox"/> 1年以下 below 1 year	<input type="checkbox"/> 1-3 年 year(s)	<input type="checkbox"/> 3 年以上 Over 3 years

Other derivatives (e.g. Warrants)

预期每月交易手数 Expected trading lots per month

- ≤ 1000 手 lots     1001-5000 手 lots     5001-10000 手 lots     > 10000 手 lots

**最终实益拥有人及利益关系申报 Beneficiary Ownership and Interest Declaration**

- 您 (及/或联名客户) 是否此最终实益拥有人? (即您是否为本身而非第三者运作此帐户?) 如否, 帐户的最终实益拥有人是: Are you (and /or Joint Client) the ultimate beneficial owner(s) in relation to the account? (i.e. Are you acting for your own account and not for a third party?)  
If no, details of the ultimate beneficial owner(s) is/ are:  
 是 Yes     否 No    姓名 Name: \_\_\_\_\_ 身份证/护照号码 ID/Passport No. \_\_\_\_\_  
 地址 Address: \_\_\_\_\_
- 您 (及/或联名客户) 或帐户之最终权益拥有人是否香港证监会持牌人士或注册机构/持牌法团的雇员; 或香港任何交易所的雇员? Are you (and /or Joint Client) or any ultimate beneficial owner(s) of the Account a SFC licensed person or an employee of any Registered Institution / Licensed Corporation; or an employee of any Exchange in Hong Kong?  
 否 No     是 Yes    请注明关系 Please specify the relationship: \_\_\_\_\_
- 您 (及/或联名客户) 或任何帐户之最终权益拥有人是否与五矿金融服务有限公司或其母公司五矿期货有限公司 (包括其属下公司) 之董事或雇员有下列亲属关系? Do you (and /or Joint Client) or any ultimate beneficial owner(s) of the Account have kinship related to any director(s) or employee(s) of Minmetals Financial Services Limited or its parent company Minmetals Futures Co. Ltd (including its subsidiaries)?

否 No     是 Yes    董事或雇员之姓名 Name of the director(s) or employee(s): \_\_\_\_\_  
关系 Relationship:  本人 In person     父母 Parent     配偶 Spouse     孩子 Child

4. 您(及/或联名客户)或帐户之最终权益拥有人是否美国公民或就税务目的而言的居民?(注意:由于美国税收申报要求,美国人帐户需要特别审批。) Are you (and/or Joint Client) or any ultimate beneficial owner(s) of the Account citizens or residents of the USA for tax purposes? (Note: Due to US tax reporting requirements, US persons' accounts require special approval.)  
 否 No     是 Yes

5. 您(及/或联名客户)或帐户之最终权益拥有人就税务目的而言的居民是以下哪国家/地区(可多选): Are you (and/or Joint Client) or any ultimate beneficial owner(s) of the Account a resident for tax purposes of which country(ies)/place(s):  
 中国香港 Hong Kong, China     中国 China     其他 Other \_\_\_\_\_

6. 以您所知,阁下的亲属(包括父母、配偶、子女)是否在五矿金融服务有限公司拥有交易帐户? As far as you know, do your relatives (including parents, spouse, children) have trading accounts with Minmetals Financial Services Limited?  
 否 No     是 Yes    亲属之姓名 Name of relatives \_\_\_\_\_ 关系 Relationship:  父母 Parent     配偶 Spouse  
 孩子 Child

### 风险评估问卷 Risk Profiling Questionnaire

1. 您有多少年投资经验(包括:股票、单位信托基金、外币、商品、结构投资产品、认股权证、期权、期货等)?  
How many years of investment experience do you have (for example stocks, unit trusts, foreign currencies, commodities, structured investment products, warrants, options, futures)?

1     没有经验或少过1年 No experience or Less than 1 year  
2     1-3 年 years  
3     4-6 年 years  
4     7-9 年 years  
5     10 年或以上 years or above

2. 现在您是否持有以下任何投资产品?(可选多个)  
Are you currently holding any of the below investment products? (you may select more than 1 option)

1     现金、存款、存款证、保本产品 Cash, deposit, certificates of deposit, capital protected products  
2     债券、债券基金 Bond, bond funds  
3     外币、非保本之货币挂钩投资 Foreign currencies, non-capital protected Currency-linked Investments  
4     股票、开放式基金(不包括债券基金或货币市场基金)、非保本之股票挂钩投资、具投资成分的保险计划、商品 Stocks, open-end funds excluding bond funds and money market funds, non-capital protected Equity-linked Investments, investment-linked insurance plans, commodities  
5     期权、期货、认股权证 Options, futures, warrants

3. 您愿意拨出个人资产净值多少百分比作投资于投资产品的用途?  
How much of your net worth would you like to set aside for investment products?

1     0% - 10%  
2     11% - 20%  
3     21% - 40%  
4     > 40%

4. 以投资回报的波幅来看,以下哪一项最适合用来形容您愿意接受的潜在收益和损失?  
In terms of investment return fluctuations, which of the following best describes the potential gain and loss that is acceptable to you?

1     波动少于 -5%至+5%之间    Fluctuates between -5% and +5%  
2     波动于 -15%至+15%之间    Fluctuates between -15% and +15%  
3     波动于 -30%至+30%之间    Fluctuates between -30% and +30%  
4     波动于 -50%至+50%之间    Fluctuates between -50% and +50%  
5     波动多于 -50%至 +50%之间    Fluctuates under -50% and over +50%

5. 若您整体投资资产下跌超过15%,对您的生活影响程度如何?  
If there is a fall of 15% or over in your overall investment portfolio, what level of your living will be suffered?

1     Intolerable 无法承受  
2     Great impact 影响程度大  
3     Medium impact 中度影响  
4     Little impact 影响程度小  
5     No impact 不会有影响

**风险承受能力分析 Risk Tolerance Analysis (只供本公司使用 For Official Use Only)**

风险承受程度 Risk Tolerance Level	投资者的一般特征 Investor General Characteristics
<input type="checkbox"/> 低风险 Low Risk ( $\leq 10$ )	<b>Conservative 保守型</b> 您愿意承受低度的风险，亦明白会接受比较保守回报。 You are willing to accept low risks. In return, you understand that you will receive low returns.
<input type="checkbox"/> 中风险 Medium Risk (11-17)	<b>Balance 平衡型</b> 您愿意承受中度的风险，于中线换取潜在回报。 You are willing to accept medium risks in exchange for some potential returns over the medium to long term.
<input type="checkbox"/> 高风险 High Risk ( $\geq 18$ )	<b>Aggressive 进取型</b> 您愿意承受高度的风险，于长线换取最大的潜在回报。阁下亦明白到有可能招致损失大部份或全部本金。 You are willing to accept very high risks to maximize your potential return over the long term. You understand that you may lose a significant part or all of your capital.

**客户款项常设授权 Client Money Standing Authority**

本授权根据（证券及期货(客户款项)规则）涵盖尔等为我/我们在香港持有或收取并存放于一个或多个独立账户内的款项(包括因持有并非属于尔等的款项而产生之任何利息)(下称「款项」)。

This authority is given pursuant to the Securities and Futures (Client Money) Rules, which covers money held or received by you in Hong Kong (including any interest derived from holding the money which does not belong to you) in one or more segregated account(s) on my/our behalf ("Monies").

本授权授权五矿金服及/或其任何联系人士酌情动用款项的全部或部分作下列用途，而无须事先给予客户任何通知或取得客户的确认及/或指示：

This authority authorizes Minmetals Financial Services Limited and/or any of its Associates, in its discretion and without prior notice to or consent from the Client, to transfer all or any portion of the monies for the purposes as set out below:

- 组合或合并(个别地或与其他账户联合进行)五矿金服及/或其任何联系人士所维持的任何或全部独立账户，尔等可将该等独立账户内任何数额之款项作出转移，以符合我/我们对五矿金服任何成员确实、或然、原有、附带、有抵押、无抵押、共同或分别的义务或法律责任；及  
combine or consolidate any or all segregated accounts of any name whatsoever and either individually or jointly with others, maintained by Minmetals Financial Services Limited and/or any of its Associates from time to time and you may transfer any sum of Monies to and between such segregated account(s) to satisfy my/our obligations or liabilities to any member of the MJFINS, whether such obligations and liabilities are actual or contingent, primary or collateral, secured or unsecured, or joint or several; and
- 从五矿金服的成员于任何时候维持的任何独立账户之间来回调动任何数额之款项。  
transfer any sum of Monies interchangeably between any of the segregated accounts maintained at any time by members of MJFINS.
- 将款项兑换至任何货币，目的包括但不限于满足结算或保证金要求。  
convert monies into any currencies, for the purpose including but not limited to fulfilling settlement or margin requirement.

本授权自本授权书签署日期起生效，有效期为十二个月。

This authority is valid for a period of 12 months from the date of signing this authority.

我/我们谨此同意就五矿金服因执行上述授权而可能产生、蒙受及/或承受一切亏损、损失、利息、费用、开支、法律诉讼、付款要求索偿等向五矿金服及/或香港及/或海外经纪人及/或结算公司作出赔偿，并保障五矿金服免受损害。

I/We hereby agree to indemnify MJFINS and/or Hong Kong and/or overseas broker and/or clearing house against any and all losses, damages, interests, costs, expenses, litigations, claims etc. that may arise from, suffer and/or entail for conducting this authorization by MJFINS, and indemnify and hold harmless MJFINS against arising therefrom.

我/我们确认并同意，五矿金服若在本授权的有效期届满前 14 日之前向我/我们发出通知，提醒我/我们本授权即将届满，而我/我们没有在授权届满前反对此授权续期，本授权应当作在不需要我/我们以书面同意下按持续的基准已被续期。

I/We hereby acknowledge and agree that, MJFINS gives a written notice to me/us at least 14 days prior to the expiry of such authorization, and the authorization shall be deemed to be renewed on a continuing basis if I/We do not object to the renewal before the expiry of such authorization.

**客户声明 Declaration by Client**

**1. 风险披露声明 Risk Disclosure Statements**

客户确认五矿金服以客户选择的语言（英文或中文）提供期货客户协议的风险披露声明及免责声明。客户进一步确认五矿金服已经邀请客户阅读风险披露声明，提出问题及征求独立的意见（如客户有此意愿）。

The Client acknowledges that the Futures Client Agreement including the Risk Disclosure Statements and Disclaimers attached were provided in a language (English or Chinese) of the Client's choice. The Client further acknowledges that he/she was invited to read the risk disclosure statements, ask questions and take independent advice, if the Client wished.

客户应经常密切留意市况，五矿金服可能在强制平仓前无法与客户联络或未能提供足够时间让客户进行额外的存款。

The Client acknowledges that he/she should closely monitor positions, as MJFINS may not be able to contact you or give you sufficient time to deposit additional funds, before forced liquidation of positions.

**2. 提供准确的资料 Accuracy of information provided**

载于本开户表格(「开户表格」)中的资料是真实和准确的。客户将会通知五矿金服有关资料的任何重要改变。五矿金服可联络任何人，包括客户的银行、经纪或信用调查机构，以核实资料表的内容。

The information contained in this Account Opening Information Form (the "Form") is true and accurate. The Client will notify MJFINS of any

material change of that information. MJFINS is authorized to contact anyone, including Clients' banks, brokers or any credit agency, for verifying the information provided on this Form.

**3. 转介费或介绍费 Referral or introduction fees**

客户确认五矿金服可向介绍人支付转介费或介绍费，而该介绍人并非五矿金服的代理人。

The Client acknowledges that MJFINS may pay fees to any person(s) who referred or introduced the Client to MJFINS (the "Introducer"), and that the Introducer is not an agent of MJFINS.

**4. 电子服务系统 Electronic Services System**

客户确认五矿金服有可能提供第三方电子服务系统进行买卖。如果客户透过电子服务进行买卖，客户便须承受该电子服务系统带来的风险，包括有关系统硬体和软件可能会失灵的风险。五矿金服声明客户/使用者透过电子服务系统进行买卖所产生之风险与盈亏，一概不承担责任。

The Client acknowledges that the trades in MJFINS might be executed by third party Electronic Services System. If the client undertake Transactions via Electronic Services, the client will be exposed to risks associated with the Electronic Services system including the failure of hardware and software. The Company accepts no responsibility for any loss which may be incurred by the Client as a result of using Electronic Services System.

**5. 持仓 Position Hold**

客户确认在五矿金服的持仓有可能由第三方代理行托管。客户确认已被邀请阅读有关申报、限量及平仓合约的规则。

The Client acknowledges that the position in MJFINS might be hosted by third party brokerage. The Client acknowledges that he/she was invited to read the Rules in relation to reporting, limiting and closing out of positions.

**6. 造假行为 Forgery**

客户明白及同意，提交虚假或伪造的文件属严重刑事罪行。如发现任何造假行为，五矿金服有权立即终止其帐户、向执法机关举报，其亦可能面临刑事检控。

The Client understands and agrees that submitting false or forged documents is a serious criminal offense. If any forgery is detected, MJFINS reserves the right to terminate the Client's account immediately and report the matter to law enforcement agencies, and the Client may face criminal prosecution.

**注意事项 Notes**

- 请随本表格附上全部帐户持有人的身份证明文件副本及最近三个月内之住宅地址证明文件(邮政信箱恕不接受)。  
Please enclose an identification document copy and residential address proof dated within the last 3 months (P.O. box is not accepted) for all account holders with this Form.
- 任何资料的删改必须由客户签署或其他指定方式作实。  
Any deletion or amendment of the information must be signed by client or other specified method.
- 帐户持有人的款项将会被存入本表格内所提供的指定银行账户，除非另行通知。  
All monies payable to the account holder will be credited to the designated bank account nominated on this Form, unless otherwise instructed.
- 五矿金融服务有限公司(下称「五矿金服」)恕不接受第三者存款。任何例外情况都需要管理层的预先批准。  
Third-party deposit will not be accepted by Minmetals Financial Services Limited ("MJFINS"). Any exception is subject to prior management approval.
- 根据《税务条例》第 80(2E)条，如任何人在作出自我证明时，在明知一项陈述在要项上属具误导性、虚假或不正确，或罔顾一项陈述是否在要项上属具误导性、虚假或不正确下，作出该项陈述，即属犯罪。一经定罪，可处第 3 级（即\$10,000）罚款。  
It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).

**客户确认及签署 Acknowledgement and Execution by Client**

选择服务 Select Service(s)	帐户种类 Account Type
<input checked="" type="checkbox"/> 期货户口 Futures Account	请为我/我们开立期货户口。我/我们已经阅读并明白期货客户协议的条款及风险披露声明及免责声明，并同意这些条款的约束。 Please open a Futures Account for me/us. I/We have read and understood the provisions of the Futures Client Agreement and Risk Disclosure Statements and Disclaimers and agree to be bound by same.
<input checked="" type="checkbox"/> 网上交易服务 On-Line Trading Service	请为我/我们提供网上交易服务。我/我们已经阅读并明白网上交易协议的条款，并同意这些条款的约束。 Please provide me/us with On-Line Trading Service. I/We have read and understood the provisions of the On-Line Trading Agreement as set out in the Futures Client Agreement and agree to be bound by same.

我/我们已阅读、明白及同意客户款项常设授权、风险评估问卷、客户声明及本表格的内容。

I/We have read, understood and accepted the contents of Client Money Standing Authority, Risk Profiling Questionnaire, Declaration by Client and this Account Opening Information Form.

个人/联名客户签署  
Individual/ Joint Client Signature \_\_\_\_\_

联名客户签署  
Joint Client Signature \_\_\_\_\_

个人/联名客户姓名  
Individual/ Joint Client Name \_\_\_\_\_

联名客户姓名  
Joint Client Name \_\_\_\_\_

日期 Date \_\_\_\_\_ DD-MM-YYYY

日期 Date \_\_\_\_\_ DD-MM-YYYY

<b>见证人签署 Signed by Witness</b>			
由五矿金服职员、持牌代表或联系人士或专业人士(其他证监会持牌人或注册人、太平绅士、银行经理、执业会计师、律师或公证人)填写。 To be completed by staff, representative or affiliate of MJFINS or Professional (any other licensed or registered person with the SFC, a JP, branch manager of a bank, certified public accountant, lawyer or notary public).			
见证人姓名: Name of Witness:		见证人签署: Signature of Witness:	
<b>持牌人士声明 Declaration By Licensed Person</b>			
我确认已经以客户选择的语言(英文或中文)提供了载于期货客户协议内的风险披露声明,并且我已经邀请客户阅读风险披露声明,提出有关问题及寻求独立意见(如客户有此意愿)。 I confirm that I have provided the Risk Disclosure Statements as set out in the Futures Client Agreement in a language (English or Chinese) of the Client's choice. I have also invited the Client to read the Risk Disclosure Statements, ask questions and take independent advice if the Client wishes.			
持牌人姓名: Name of Licensed Person:		持牌人签署: Signature of Licensed Person:	中央编号: CE No.:
			日期: Date:
AML风险级别 (参考AML政策) AML Risk category (refer to AML policy)		<input type="checkbox"/> 低 Low <input type="checkbox"/> 中 Medium <input type="checkbox"/> 高 High	
<b>只供本公司使用 For Official Use Only</b>			
<b>文件查核列表 Document check list</b>			
客户 Primary Client <input type="checkbox"/> W-8ben 表格 <input type="checkbox"/> 自我证明表格 - 个人 <input type="checkbox"/> 已核实的身份证或护照副本 <input type="checkbox"/> 地址证明副本 <input type="checkbox"/> 银行证明副本 <input type="checkbox"/> 第三者操作授权书(如适用) <input type="checkbox"/> 获授权人(资金调拨人、指令下单人)的身份证明正反两面(如适用) <input type="checkbox"/> 申请应用程序开发介面(如适用)		联名客户 Joint Client <input type="checkbox"/> W-8ben 表格 <input type="checkbox"/> 自我证明表格 - 个人 <input type="checkbox"/> 已核实的身份证或护照副本 <input type="checkbox"/> 地址证明副本 <input type="checkbox"/> 银行证明副本 <input type="checkbox"/> 第三者操作授权书(如适用) <input type="checkbox"/> 获授权人(资金调拨人、指令下单人)的身份证明正反两面(如适用) <input type="checkbox"/> 申请应用程序开发介面(如适用)	
<b>客户资料核对 Client information checked by</b>			
职员姓名: Name of Staff:		职员签署: Signature of Staff:	日期: Date:
<b>客户资料输入 Client information Inputted by</b>			
职员姓名: Name of Staff:		职员签署: Signature of Staff:	日期: Date:
<b>基本佣金 Basic Commission (RO)</b>			
单一持仓限额:			
职员姓名: Name of Staff:		职员签署: Signature of Staff:	中央编号: CE No.:
			日期: Date:
<b>开户批核 Account opening approved by (RO)</b>			
职员姓名: Name of Staff:		职员签署: Signature of Staff:	中央编号: CE No.:
			日期: Date: